

INVOICE CHECK LIST

MEKULTRA Subproject

At Date of Original Authorization	Period Covered	Time Extended To	Allotment Number	Amount of Obligation
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15 Sept 1960				6.500

Additional Authorizations	Period Covered	Time Extended To	Allotment Number	Amount of Obligation
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[illegible]

Invoice Number	Date	Amount	Balance
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[illegible]

REFERENCES:

MSULTRA, Subproject 124 [REDACTED]

Purpose: To develop a more sophisticated
dioxide tension and pH of body fluids; to study relations
pH and psychophysiological variables.

Initiated: September 1960

Contractor: [REDACTED] ^C was a grantee of the [REDACTED] ^B

Cost: \$6,500.00

Status:

[REDACTED]

12/3

TO: [REDACTED]

1. Date of Obligation: N/A

2. Purpose of Project: Research in "The
Psychophysiological Correlates of
Carbon Dioxide Environment"

3. Progress to Date: Project being
initiated.

4. Expiration Date: N/A

5. Project Monitor: [REDACTED]

FROM: [REDACTED] TSS/C D

Room B-10, [REDACTED] Building, Ext. [REDACTED]
[REDACTED] G

124-5

CERTIFICATION

1. This is to certify that I have received an accounting from MKULTRA, Subproject 124 which reflects expenses of \$2,497.25. The accounting is being retained in the office of TSD where it may be reviewed by the certifying officer upon request.

2. The balance remaining after recording the expenditures has been refunded. This refund in the amount of \$40.54 has been recorded on the [REDACTED] proprietary company financial records.

3. I certify that satisfactory services represented by the accounting have been received and that to the best of my knowledge and belief the funds expended were for the purposes authorized by the project approval.

[REDACTED] A

APPROVED: _____

SIDNEY GOTTLIEB
DC/TSD

[REDACTED]

We present herewith the final accounting of the Acid Base Research Project.

1960 - October	\$3,250.00
1961 - February	3,250.00
	<u>\$6,500.00</u>

1960 - Equipment -	[REDACTED] B	\$2,449.16
1960 - Equipment -	[REDACTED] B	1,300.00
1960 - Other expenditures		213.05
1961 - No disbursements		
1962 - No disbursements		
1963 - 7-16 Labor		158.00
- 8-30 Labor		441.00
- 9-12 Labor		222.00
1964 - 2-18 Labor		208.00
- 9-14 [REDACTED] B		25.01
- 11-23 [REDACTED] No 2 Mixing Device		302.93
- 11-23 [REDACTED] Consultant B		100.00
- 11-23 [REDACTED] Consultant B		250.00
- 12-28 [REDACTED] B		22.79
- 12-28 [REDACTED] B		78.52
1965 - 1-21 [REDACTED] for advance for subjects, prisoner technicians		600.00
- 2-19 [REDACTED] B		60.00
- 4-26 [REDACTED] B		29.00
		\$6,459.46

Dec. 30, 1965	Balance remaining	40.56
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Our check in the amount of \$40.54, representing the unexpended balance, is enclosed.

Very truly yours,

October 8, 1964

Dear Miss [REDACTED]

I have just received a statement from Mr. [REDACTED] regarding the balance in our Acid Base Project. Mr. [REDACTED] has been away on vacation and this explains the delay:

The amount remaining in the fund is \$1,508.78.

Of the approximately \$1,000 expended since our last report, over 95% has gone for payroll.

At the present time we are engaged in a study in which the background knowledge and apparatus provided in the grant will be of considerable use. We are administering carbon dioxide and air in mixtures up to 10% carbon dioxide to hypertensive prisoner subjects in an attempt to study the effect on resting blood pressure levels. That the acid base level is related to the resting rate of blood pressure is a long-shot hypothesis. If any effect is demonstrated, this could be an important contribution. We plan to measure changes in the acid base balance and blood pressure and particularly the length of time that these changes persist. There is some evidence in the literature that if one sets carbon dioxide tolerance at a new level, that homeostatic mechanisms will maintain this for a considerable period of time. I will certainly keep you informed of our results in this experiment.

I certify that services and materials have been satisfactorily received and the expenditures were incurred on official business.

Sincerely,

Date: 27 OCT 1964

DOES NOT
CONSIDER THIS AN ACCTG.
MERELY A STATEMENT

[REDACTED] B

September 10, 1963

[REDACTED] C

Dear [REDACTED] C

Pursuant to my letter of April 25, I am reporting to you on the Acid-Base Study. During the past two months we have trained a technician to work with the [REDACTED] apparatus. He will be a student in Chemistry this fall at the [REDACTED]. We expect to run experimental subjects on weekends.

We attempted one experiment to simplify finger blood collection by catching it under oil. This failed because of oil contamination of the electrode. Therefore we will proceed with subjects according to standard [REDACTED] methods.

Funds remaining in the grant are sufficient for the next year's studies.

We will report to you again on December 15.

Sincerely,

[REDACTED] B

[REDACTED]

1249
September 12, 1963

Dear [REDACTED]

Enclosed please find the accounting for [REDACTED] from [REDACTED] which shows a 0 balance. This should close that old one.

I have written to [REDACTED] for an accounting of the last 2 years on the \$30,000 grant to the [REDACTED]

Enclosed is an accounting on [REDACTED] which I have made up since I had all his records and made the payments for him. He may use the balance up in some small expenditures which he has not yet requested reimbursement for so please don't close this one out.

Enclosed also is a copy of a letter from [REDACTED] which you can put in his file. He is still spending our grant money and won't account until it is all gone.

Best personal regards.

124-11

124

March 31, 1963

Grants Received

1960	\$ 3,250.00	
1961	<u>3,250.00</u>	\$ 6,500.00

Disbursements

B [REDACTED]			
B [REDACTED] equipment & accessories	\$ 2,545.06		
B [REDACTED] Mini-polygraph			
Total cost	\$ 2,500.00		
Less payments by [REDACTED]			
and [REDACTED]	<u>1,200.00</u>	1,300.00	
Other expense [REDACTED]		<u>117.15</u>	
			<u>3,962.21</u>

Unexpended Balance\$ 2,537.79

This is a true statement of accounting
from [REDACTED] as reported to the Fund

I certify that services or materials have been
satisfactorily received and the expenditures
were incurred on official business.

Date: 5/9/63

12412
RECEIPT

Receipt is hereby acknowledged of the following check:

check dated September 20, 1960,
in the amount of \$1,426.22, drawn on the
of the payable to the

Date: Sept 21, 1960

124.13

Cost Account 1125-1009-1902

Object Class _____

[illegible]

124-1902

15 September 1960

MEMORANDUM FOR: COMPTROLLER
ATTENTION : Finance Division
SUBJECT : MULLTRA, Subproject 124

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 124 has been approved and \$6,500.00 of the over-all MULLTRA project funds has been obligated to cover the subproject's expenses. This obligation of funds should be charged to Allotment 1525-1009-1902.


Chief
TSD/Research Branch

APPROVED FOR OBLIGATION
OF FUNDS:

Original signed by

A

Research Director

Date: _____

Distribution:
Orig & 2 - Addressee

- 1 - TSD/OC
- > 1 - TSD/PASS

I CERTIFY THAT FUNDS ARE AVAILABLE: 16 SEP 1960
OBLIGATION REFERENCE NO. 533
CHARGE TO ALLOTMENT NO. 1525-1009-1902

AUTHORIZING OFFICER

124-15

124

[REDACTED]

C

- 12/17 E

203640

CHECKED IN THE AMOUNT OF \$ 3.500 ⁰⁰/_{XX}

RECEIVED

12/17

[REDACTED]

A

(When Filled In)

CONFIDENTIAL FUNDS POSTING VOUCHER

VOUCHER NO. 7-12		DATE 2-6		SEP 20 1960		VOUCHER NO. 7-12															
DESCRIPTION-ALL OTHER ACCOUNTS 13-33		40-42		43		44-46		47-49		50-52		53-55		56-58		59-60					
P.O. NO. PROF. NO. 29-33		EXPEND. CODE		U		PAY		PER. CODE		REF. NO.		CA		GENERAL		ALLOT. OR COST		DUE		71-80	
ADVANCE ACCOUNTS 13-27		P.O. NO. PROF. NO. 29-33		EXPEND. CODE		U		PAY		REF. NO.		CA		GENERAL		ALLOT. OR COST		DUE		71-80	
P.O. NO. PROF. NO. 29-33		EXPEND. CODE		U		PAY		PER. CODE		REF. NO.		CA		GENERAL		ALLOT. OR COST		DUE		71-80	
P.O. NO. PROF. NO. 29-33		EXPEND. CODE		U		PAY		PER. CODE		REF. NO.		CA		GENERAL		ALLOT. OR COST		DUE		71-80	
SUBPROJ 124 1441		5811		533		601.0		45-1004-1902		752		650000									
SUBPROJ 39 REFUND		5814		143		601.0		4502-10-001		752		13624									
SUBPROJ 81 4		5819		638		601.0		4502-10-001		752		19774									
SUBPROJ 65 4		5814		2664		601.0		4502-10-001		752		18000									
SUBPROJ 88 4		5819		119		601.0		4502-10-001		752		169000									
MKULTR		45		572		1100						142632									
EXPLANATION OF ENTRY		TOTALS		650000		650000		650000		650000		650000									

See attach.

CERTIFIED FOR PAYMENT OR CREDIT
SIGNATURE OF CERTIFYING OFFICER

DATE

REVIEWED BY

DATE

A

PREPARED BY

DATE

19/9/60

FORM 10-59 606 USE PREVIOUS EDITIONS.

124-16
16 September 1960

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSD/Budget Officer

SUBJECT : MULTRA, Subproject 124, Invoice No. 1
Allotment 1125-1009-1902

1. Invoice No. 1 in the amount of \$6,500 covering the above subproject is attached. However, due to refunds of \$5,073.78 from other projects (as per attachments) payment should be made as follows:

E Cashier's check in the amount of \$1,426.22
drawn on [redacted] and made payable
to the [redacted] B

2. The checks should be forwarded to Chief, TSD/Research Branch, through TSD/Budget Officer, no later than Thursday, 22 September 1960.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project the files should not be closed.

[redacted] Chief
TSD/Research Branch A

Attachments
Invoice & Certifications

Distribution:
Orig & 2 - Addressee E
1 - TSD/FAS

I CERTIFY THAT FUNDS ARE AVAILABLE:

ORIGINATION REFERENCE NO. 533

CHARGE TO ALLOTMENT NO. 1125-1009-1902

AUTHORIZING OFFICER

CHECK # 17777 IN THE AMOUNT OF \$1426.22
RECEIVED [redacted] A

ACKNOWLEDGMENTS

[illegible]

Year	Amount	Year	Amount
1970	\$1,568.48	1972	\$1,500.00
1971	\$1,774.92	1973	\$1,250.00
1972	\$1,000.00	1974	\$1,500.00
1973	\$1,000.00	1975	\$1,500.00

Chief: 10/14/67 PCH 100000

2000

Executive Director

222

124-17

16 September 1960

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA : TSD/Budget Officer

SUBJECT : MQUILTRA, Subproject 124, Invoice No. 1
Allotment 1125-1009-1902

1. Invoice No. 1 in the amount of \$6,500 covering the above subproject is attached. However, due to refunds of \$5,073.78 from other projects (as per attachments) payment should be made as follows:

Cashier's check in the amount of \$1,426.22
drawn on a [redacted] and made payable
to the [redacted]

2. The checks should be forwarded to Chief, TSD/Research Branch, through TSD/Budget Officer, no later than Thursday, 22 September 1960.

3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project the files should not be closed.

[redacted]
Chief
TSD/Research Branch

Attachment
Invoice & Certifications

Distributions:
Orig & 2 - Addressee

1 - TSD/FASS

XIXX

2 - TSD/RB

XIXX

(16 Sept 60)

INVOICE

For services

\$6,500.00

CERTIFICATIONS

(1) It is hereby certified that this is invoice No. 1 applying to MKULTRA, Subproject 124, that performance is satisfactory; that the services are being accomplished in accordance with mutual agreements that a detailed agenda of the payments and receipts is on file in TSD/RS, that the bill is just and correct and that payment thereof has not yet been made.

Chief, TSD/Research Branch

Date: _____

(2) It is hereby certified that this invoice applies to MKULTRA, Subproject 124, which was duly approved, and that the project is being carried out in accordance with the memorandum dated 13 April 1953, from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date: _____

124-17

CERTIFICATION

(3) It is hereby certified that the program under subprojects 39, 81 and 65 have been satisfactorily completed and returned unused funds. However, subproject 88, which is still continuing also refunded money. Therefore, it is requested that the amounts as shown below on Invoice Number 1 of subproject 124 be credited to the subprojects as shown below.

<u>Project</u>	<u>Amount</u>	<u>MOR</u>	<u>Allotment</u>
#39	\$1,356.86 ^{OK}	143	5-2502-10-001
#81	1,977.52	638	9-2502-55-902
#65	50.00	2664	8-2502-10-001
#88	1,690.00	119	9-2502-55-902

5073.78

Chief, TSD/Research Branch

Date: _____

Research Director

Date: _____

15 September 1960

MEMORANDUM FOR: CONTROLLER
ATTENTION : Finance Division
SUBJECT : MGLTRA, Subproject 124

Under the authority granted in the memorandum dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda, Subproject 124 has been approved and \$6,500.00 of the over-all MGLTRA project funds has been obligated to cover the subproject's expenses. This obligation of funds should be charged to Allotment 1525-1009-1902.

Chief
TSD/Research Branch

APPROVED FOR OBLIGATION
OF FUNDS:

Research Director

Date: _____

Distributions:
Orig & 2 - Addressee

- 1 - TSD/OC
- 1 - TSD/PASS
- 1 - TSD/RB

(15 Spt 60)

DRAFT [REDACTED]
15 September 1960

MEMORANDUM FOR: THE RECORD

SUBJECT : MKULTRA, Subproject 124

1. It is requested that Subproject 124 be approved to support the research program of [REDACTED] in "The Psychophysiological Correlates of Carbon Dioxide Environment" in accordance with the attached proposal.

2. This study will add to our methodological sophistication for measuring carbon dioxide tension and pH of body fluids as well as our knowledge of some of the relationships between blood pH and certain psychophysiological variables mentioned in the attached proposal.

3. This project will be funded through the [REDACTED] for cover purposes. The accounting for funds expended shall conform to the established procedures of that organization. Title to any permanent equipment shall remain with [REDACTED] in lieu of overhead charges.

4. The total cost of this project for six months is estimated to be \$6,500 as indicated in the attached budget. Charges should be made against Allotment 1525-1009-1902. Any unused funds will be returned to the [REDACTED] at the completion of the project.

5. [REDACTED] has been cleared and has served as a consultant to TSD for a number of years. He is witting of true sponsorship of the [REDACTED]

Siding Jettles
for

[REDACTED] A
Chief
TSD/Research Branch

Attached:
Proposal

Distribution:
Original Only

Approved for Obligation of
Funds:

[REDACTED] A
Research Director

Date: 15 Sept.60

#1 [REDACTED] 124-19

A Proposed Study of the Psychophysiological
Correlates of the Carbon Dioxide Environment

Of all the environmental factors which influence human health, the most neglected may be one of the gaseous constituents of the atmosphere - carbon dioxide. While the proportion of carbon dioxide in fresh air runs only to 3/100 of 1%, the blood and the body cells carry a carbon dioxide tension which would be in equilibrium with an atmosphere of about 5% carbon dioxide.

Changes in the carbon dioxide tension of body fluids are related to many physiological and psychological processes. Over-breathing is one of the concomitants of anxiety. The corresponding reduction of carbon dioxide tension results in vasoconstriction and increased smooth and striated muscle tonus, with the creation of many symptoms. These symptoms may be extremely uncomfortable, and act to accentuate anxiety. This vicious circle is very difficult to interrupt, voluntarily, since breathing is largely an automatic function. We can interrupt it by increasing the carbon dioxide content of inspired air, and this is an important method of treating acute anxiety states characterized by hyperventilation.

An indication of the wide-spread applicability of carbon dioxide inhalation can be seen from two examples. Spastics and victims of Parkinson's disease may obtain appreciable relief of muscle tonus, for at least

as much as several hours, through breathing a mixture of 5-7% carbon dioxide. The mechanism is that by decreasing the pH of the blood, more body fluid calcium is ionized and this is conducive to muscle relaxation.

Another use of carbon dioxide inhalation lies in the treatment of classical migraine. In this disease, the aura phase is characterized by marked vasoconstriction of cerebral vessels which constitute part of the tree of one or the other carotid arteries. Aural phenomena, such as visual scotomata, are a reflection of temporary cerebral anoxemia. After minutes to hours of vasospasm, the affected vessels become totally exhausted and spring into vasodilation. The painful phase of migraine is thought to be an action on pain fibers in the stretched walls of the vessels. Preliminary observations indicate that inhalation of carbon dioxide in the aura phase of classical migraine can abort the syndrome by causing vasodilation before the smooth muscles of the cerebral vessels are totally exhausted.

Research in the effects of the cellular carbon dioxide environment has been negligible. This neglect may be attributable to several reasons: In the first place, accurate studies of the acid-base balance of the blood have usually required a sizeable amount of arterial blood. This is not easy to come by, since arterial puncture is a difficult and painful procedure. In the second place, there has been no simple portable device for providing carbon dioxide for inhalation. Finally, there has been no

124-1

concerted effort by pharmaceutical companies to study the problem, since no patentable drug is involved. Carbon dioxide is cheap -- omnipresent in the environment.

Long ago, one of the applicants worked on the acid-base balance with micro methods and capillary finger blood. The methods were fairly accurate but very complicated. We knew that simple methods would be perfected, but were unable to do this ourselves. In May of 1960 a simple micro system was made available in ~~██████████~~ ^E Copenhagen.

We have developed and used a simple portable source of carbogen and are presently using it in explorations of the treatment of migraine.

The situation is now ripe for a comprehensive study of the biochemical physiological, psychological and treatment aspects of alteration of the carbon dioxide level of body fluids.

It is proposed that the acid-base equilibrium of psychiatric patients be surveyed, using the new method of ~~██████████~~ ^E Sigvard Aubermann. Variations related to diagnosis and course of illness may be readily perceived. Then, with the same kind of patients, the feasibility of altering the acid-base equilibrium by carbogen inhalation, and the duration of alteration after such inhalation, would be studied on patients on normal volunteers. The effect of carbogen inhalation of selected patients, especially spastics, victims of migraine and patients with anxiety would be studied, with repeat-

Astrup, P. Andersen, O.S. Jorgensen, K. Engel, K. "The Acid-Base Metabolism"

A New Approach, Lancet, 14 May 1960, 1035-1037.

ed physical determinations of acid-base variables. Effect of acid-base changes on EEG, EKG and blood pressure would be determined with a polygraph which is already available.

In summary, the primary objective of the proposed study is to explore the parameters of acid-base psychophysiological correlates, using a method which has just been made available. While there are specific treatment objectives, such as in cases of excess motor tonus, migraine and anxiety, these are secondary.

Andersen 2. Methods are essentially the microanalytic system of ~~standard~~ ^{*Signal*} standard inhalation methods and a versatile polygraph. Where appropriate, psychological tests as well as psychiatric interviews would be used.

The basic facility is a very active psychiatric service specializing in acute illnesses, together with the staff and facilities of a general hospital.

3. Basic exploration should not require over 6 months.

4. Budget. We do not yet have a breakdown on the Siggaard Andersen apparatus, but this should be available in a few weeks and will be sent in as a supplement. Total cost of apparatus is here estimated as \$2,500.

Personnel:	Psychiatrist	\$ 2,000 (1/3 time)
	Technician	1,500
	Apparatus	2,500
	Miscellaneous	
	and Overhead	500
		\$ 6,500

6
5. Qualifications. [REDACTED] is a large, general hospital with an active 24-bed psychiatric service. Extensive laboratory and consultative facilities are available. The Hospital is incorporated as a non-profit organization and is tax-exempt. Qualifications of the Project Director and psychiatrist are indicated below.

6. With the exception of preliminary clinical observations on the treatment of migraine, there have been no studies bearing directly on the subject of this proposal.

7. Project Director (without salary) -
C
B [REDACTED]

Psychiatrist -
C
B [REDACTED]

8. We have applied for a \$2,000 neurological grant from the [REDACTED] specifically for study of treatment feasibility of classical migraine with carbogen. The present request is for basic work,

and overlap with the clinical study is minimal.

9. A rather interesting application of this study might be in the field of personality evaluation. Since there are certain psychological variables, such as anxiety, which relate to the acid-base equilibrium, it is just possible that the new and simple method of acid-base study would apply here. Possibly, the ability of an individual to withstand marked acid-base changes without development of disabling symptoms or other physical changes would be an index of psychological stability. The induction of acid-base changes, through hyperventilation, could be a kind of stress test. With the apparatus which is proposed, the extent of acid-base change could be determined readily.

THE ACID-BASE METABOLISM A NEW APPROACH

POUL ASTRUP
M.D. Copenhagen

K. JØRGENSEN
M.D. Copenhagen

O. SIGGAARD-ANDERSEN
M.D. Copenhagen

K. ENGEL
B.Sc. Copenhagen

From the Department of Clinical Chemistry, Rigshospitalet, Copenhagen

In this paper we evaluate factors which characterise disturbances of the acid-base metabolism. The importance of using chemical values, which are relevant from a clinical as well as a chemical point of view, is stressed and exemplified; and an analytical method is described for measuring the relevant chemical concentrations using capillary blood, and available as a bedside procedure.

Classification of Disturbances

The term *acidosis* should denote a pathological condition due to accumulation of acid or to loss of base. These two possibilities may lead to the same clinical picture, as both tend to lower pH in the organism. Similarly, *alkalosis*, or better *basosis*, should mean a pathological condition due to accumulation of base or loss of acid.

The terms "acid" and "base" are in this paper used for hydrogen-ion donor and acceptor, respectively, according to Brønsted (1923).

The use of these widely accepted definitions increases clarity (Devor 1953; Prætorius 1954; Relman 1954), especially because the acid-base metabolism can be dealt with independently of the cation metabolism. Cations are neither acids nor bases and consequently not be designated bases (e.g., "total bases"), but cations.

Of all acids and bases, carbonic acid is physiologically unique because of its high endogenous production, and because its concentration is regulated by respiration. Disturbances primarily due to carbonic acid should therefore be clinically grouped separately. Accordingly, each of the two conditions—acidosis and basosis—can be further classified as either *respiratory* or *non-respiratory*. The last group, often named "metabolic", comprises all disturbances primarily due to fixed (non-volatile) acids and bases.

Primary disturbance of the acid-base metabolism is usually compensated to some extent. A respiratory disturbance is compensated by a renal mechanism, and a non-respiratory disturbance by a respiratory mechanism. If, for instance, pH tends to fall because of an accumulation of some non-volatile acid, hyperventilation lowers the arterial PCO_2 (e.g., Kussmaul's respiration). The actual state of a pathological condition can therefore be characterised also by its degree of compensation: as *not compensated* (compensatory mechanism not working), *partially compensated* (pH not brought to normal value), *fully compensated* (pH normal), or *overcompensated*.

The terms *acidemia* and *alkalemia* are used in some countries for conditions in which the pH of arterial blood is decreased or increased. These terms do not interfere with the classification given here.

Estimations for Diagnosis

To indicate the severity of an acid-base disorder the following estimations have proved valuable in our experience.

Arterial blood pH depends on the relation between the respiratory and the non-respiratory components of the acid-base metabolism. It thus reflects the combined influence of respiratory and non-respiratory disturbances.

Any deviation from the normal carbon-dioxide tension (arterial PCO_2) reflects a respiratory acid-base disturbance, either primary or compensatory. This accords with the general view.

Any deviation from the normal content of base in blood reflects a non-respiratory acid-base disturbance, either primary or compensatory. The base content should be expressed as standard bicarbonate, or as base excess or base deficit. Standard bicarbonate is the concentration of bicarbonate in plasma, when whole blood has been equilibrated with carbon dioxide at a PCO_2 of 40 mm. Hg at 38°C, and when the haemoglobin is fully oxygenated (Jørgensen and Astrup 1957). Base excess (or base deficit) directly expresses the amount (in mEq.) of strong base (or acid) added per litre blood, when the normal mean is arbitrarily fixed at zero. Zero thus corresponds to the normal mean for standard bicarbonate (22.9 mEq. per litre). When the term base excess (B.E.) is used exclusively the positive values will express the excess of base, while the negative values will express the deficit of base (i.e., excess of acid); and this makes for simplicity in practice.

The normal 95% ranges of these values are: arterial pH 7.35–7.45; arterial PCO_2 34–45 mm. Hg; standard bicarbonate 21.3–24.8 mEq. per litre; base excess –2.3 to +2.3 mEq. per litre (Siggaard-Andersen et al. 1960).

When actual values found are considered the possible diagnoses are limited, and, together with clinical information, they usually lead readily to the exact diagnosis.

Standard Bicarbonate and Base Excess

The word "standard" in standard bicarbonate should signify that the bicarbonate is measured under standard conditions in order to express only the non-respiratory side of the acid-base metabolism. The standard conditions are a fixed PCO_2 , and a fixed oxygenation of haemoglobin, so that the influence of respiration on the base content of the blood is eliminated. We have chosen to measure with the haemoglobin completely oxygenated and at a PCO_2 of 40 mm. Hg and at 38°C. If with these conditions fulfilled, the pH of blood is measured, the Henderson-Hasselbalch equation

$$\text{pH} = 6.10 + \log \frac{\text{HCO}_3^-}{\text{PCO}_2 \times 0.030}$$

will give the standard bicarbonate directly when the value of pH found and the value of PCO_2 (40 mm.) chosen are inserted. Full oxygenation of haemoglobin is chosen for convenience, and also because it eliminates the small effect of accidental variations in oxygen saturation when venous samples are drawn. The determination of standard bicarbonate is very easy (Jørgensen and Astrup 1957).

Two other bicarbonate quantities, commonly used to indicate non-respiratory disturbances, are briefly mentioned for comparison. Total CO_2 of plasma is the value determined by the manometric, volumetric, or titrimetric techniques using plasma separated anaerobically from the cells. The value varies,

TABLE 1—PLASMA VALUES FOR TOTAL CO_2 , CO_2 -COMBINING POWER, AND STANDARD BICARBONATE, DETERMINED IN SAMPLES FROM THE SAME NORMAL PLASMA POOL, AT pCO_2 OF 30 AND 40 MM. HG. WITH THE HEMOGLOBIN COMPLETELY OXYGENATED AND COMPLETELY REDUCED

	Hemoglobin oxygenated		Hemoglobin reduced	
	pCO_2 , 30 mm. Hg	pCO_2 , 40 mm. Hg	pCO_2 , 30 mm. Hg	pCO_2 , 40 mm. Hg
Total CO_2 , (mM.)	15.6	30.0	17.0	34.8
CO_2 -combining power	19.0	26.7	22.4	31.4
Standard bicarbonate	21.2	21.2	21.2	21.2

Only the standard bicarbonate is independent of pCO_2 and oxygen saturation, thus showing the superiority in identifying non-respiratory disturbances.

however, with the actual pCO_2 as well as with the oxygen saturation of the blood, and can therefore not be the ideal measure of non-respiratory disturbances. This is illustrated in table 1.

CO_2 -combining power of plasma is the total CO_2 of plasma, which is separated from the cells at the actual pCO_2 , and then equilibrated at a pCO_2 of 40 mm. Hg before measurement. This value also varies with the actual pCO_2 and the oxygen saturation, though less than total CO_2 (table 1).

Thus it seems evident that standard bicarbonate is the best bicarbonate value to measure when it is desired to eliminate the influence of the respiration and to determine the non-respiratory component only. The effect of equilibrating whole blood seems to be approximately the same in vitro as in vivo (Shook and Hastings 1935)—i.e., as if the respiratory function was standardised.

The standard bicarbonate value has, like other bicarbonate values, the drawback that it does not show directly the amount, in mEq. per litre blood, of fixed acid or base causing a change in the base content of a blood sample. (This is because the carbon-dioxide/bicarbonate system is responsible for only about 75% of the buffer action of the blood against fixed acids and bases, when the pCO_2 is kept constant (see table II).) Therefore, to find the total deficit or excess fix base per litre blood, the deviation of standard bicarbonate from the normal mean must be corrected; this can be approximately achieved by multiplying by 1.20. An exact correction requires knowledge of the buffer capacity of the blood—i.e., the hemoglobin concentration. The advantage of using quantities which directly give the surplus amount of fixed acids or bases contained per litre blood is thus evident. Here the use of change in buffer base or of base excess is helpful.

Buffer base (Singer and Hastings 1942), which in all cases should be used in the form agreeing with the acid-base concept of Brønsted (1923), includes buffer anions other than bicarbonate, especially hemoglobin ions. Thereby its value becomes independent of pCO_2 , and a change in buffer base, in mEq. per litre, directly expressed the amount of acid or base causing the change (table II). On the other hand, it represents a sum of factors of different buffer capacities. Furthermore, the buffer base value of a blood sample depends on its hemoglobin

and protein concentration (table II). A patient with a low buffer-base value due to a low hemoglobin concentration thus needs hemoglobin and not bicarbonate.

Concerning the quantity *base excess* (for definition see above), this gives directly, in mEq. per litre blood, the surplus amount of fixed acid or base (table II). It is a quantity easily understood by those unfamiliar with clinical acid-base problems. Further it allows the treatment of acid-base problems in a quantitative way. So, from both a theoretical and a practical point of view, base excess is preferable to buffer base. One argument against the use of base excess is that it does not give an ion-value for the base-concentration in blood. If this is wanted the standard bicarbonate should be used, thus giving the bicarbonate concentration of plasma under standard conditions.

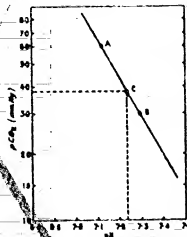


Fig. 1—pH-p CO_2 base for a blood sample.

Point A indicates the measured pH value 7.38 after equilibration at pCO_2 = 40 mm. Hg. Similarly point B indicates pH 7.28 at pCO_2 = 75 mm. Hg. If, for instance, the actual pH of the measurably driven blood had been indicated in 7.28, the actual pCO_2 would be read as 38 mm. Hg (point C).

Total CO_2 , CO_2 -combining power, standard bicarbonate, buffer base, and base excess can be determined by the new microspectrometric outlined below.

Quantitative Treatment of Acid-base Disturbances Respiratory Disturbances

Changes in the alveolar ventilation, leading to respiratory disturbances, are determined quantitatively by the pCO_2 of arterial blood, the value of which in general can be assumed to be identical with the value of pCO_2 of arterial air.

As the excreted amount of carbon dioxide per time unit is constant for an individual in a steady state, the

TABLE II—VALUES FOR BUFFER BASE, BASE EXCESS, AND STANDARD BICARBONATE, DETERMINED IN BLOOD SAMPLES WITH DIFFERENT CONCENTRATION OF HEMOGLOBIN (15 AND 7.5 G. PER 100 ML.) AND WITH OR WITHOUT ADDITION OF ETHANIC ACID AND BASE (10 mEq. PER LITRE BLOOD)

	Blood with 15 g. hemoglobin per 100 ml.				Blood with 7.5 g. hemoglobin per 100 ml.			
	No acid or base added	10 mEq. strong acid added per litre blood	10 mEq. strong base added per litre blood		No acid or base added	10 mEq. strong acid added per litre blood	10 mEq. strong base added per litre blood	
Buffer base (mEq. per litre blood)	68.2	58.2	58.2		63.4	53.4	53.4	
Base excess (mEq. per litre blood)	0	-10	+10		0	-10	+10	
Standard bicarbonate (mEq. per litre plasma)	22.9	15.9	30.7		22.4	15.4	31.9	

The blood samples were obtained from a pool of normal blood kept at 37°C. at pCO_2 = 40 mm. Hg. and with the hemoglobin completely oxygenated. The hemoglobin concentration was altered by adding plasma.

product of the alveolar ventilation (A) and the $p\text{CO}_2$ of the arterial blood has a constant value (K): $A \times p\text{CO}_2 = K$. Accordingly, if the alveolar ventilation decreases, the $p\text{CO}_2$ must increase, and vice versa. For instance, a decrease of A to half must double the $p\text{CO}_2$.

In some cases additional laboratory measurements help in elucidating the cause of a respiratory disturbance. Among these the measurement of the oxygen saturation or the oxygen tension ($p\text{O}_2$) of arterial blood is important. Thus, a low oxygen saturation with a low $p\text{CO}_2$ is associated with completely different diseases to a low oxygen saturation with a high $p\text{CO}_2$.

Non-respiratory Disturbances

When dealing with the non-respiratory disturbances, knowledge of the total amount of excess acid or base in the organism can be of clinical importance. The problems involved are rather complex, and for proper treatment knowledge of the distribution of acid and base in the different body-spaces and the rate of exchange between them is required. The following approximations are helpful.

The deficit or excess of base in the extracellular body-space can be estimated in mEq. by multiplying the negative

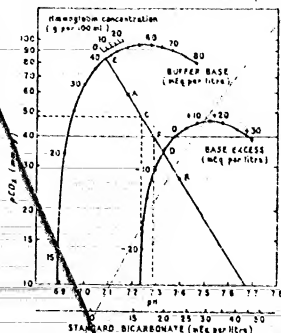


Fig. 3— $p\text{H}/\log p\text{CO}_2$ line for a blood sample, determined as described in Fig. 1.

The point of intersection, D) with the base-excess curve indicates the amount of base excess to be $+0$ mEq. per litre blood, while point E indicates the buffer base $= 40$ mEq. per litre. The standard bicarbonate (18.6 mEq. per litre) can be derived from the pH value corresponding to $p\text{CO}_2 = 40$ mm. Hg (F). The abscissa shows pH values and standard bicarbonate. The total CO_2 of the plasma from the anaerobically drawn blood and the CO_2 -combining power can be found from this figure. (For this discussion see Siggard-Andersen and Engel 1960.)

blood. When, therefore, patients are to be treated with intravenous infusions of acid or base, it is advisable to estimate the dose necessary to normalise the base content of the extracellular space only, and then follow the effect of the treatment by frequent blood analyses and also by clinical observation, before new infusions are given. This over-treatment is avoided (Møllegaard and Astrup 1960).

In treating the non-respiratory disturbances, it is important to estimate an abnormal loss of acid or base. This is especially so when the loss is extrarenal—e.g., in

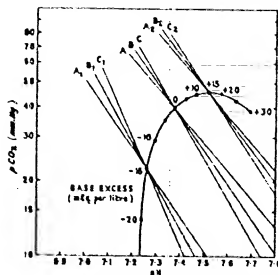


Fig. 4— $p\text{H}/\log p\text{CO}_2$ lines for blood samples with different hemoglobin concentration and different content of base.

A, B, and C represent samples of normal blood with a hemoglobin concentration of 0, 10, and 20 g. per 100 ml. respectively. A, B, and C show the displacement after addition of fixed acid (15 mEq. acetic acid per litre blood); and A, B, and C after addition of base (15 mEq. sodium carbonate per litre blood). The points of intersection of these lines form a curve (the base-excess curve) which indicates the amount of base excess (positive values) and base deficit (negative values) in any blood sample.

or positive value found for base excess, in mEq. per litre blood, by $0.3 \times$ the body-weight in kg., where the factor 0.3 is found experimentally (Møllegaard and Astrup 1960). This amount corresponds directly to the amount of sodium bicarbonate or of ammonium chloride required to neutralise a non-respiratory disturbance in the extracellular space.

For the whole body, the factor 0.7 should be used instead of 0.3 (Palmer and Van Slyke 1917).

In dealing with patients, however, the amount of excess or deficit of base in the whole body does not always seem to be directly proportional to the excess or deficit of base in the

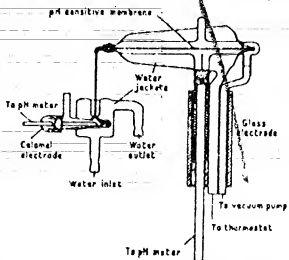


Fig. 5—Capillary glass electrode for measuring blood-pH.

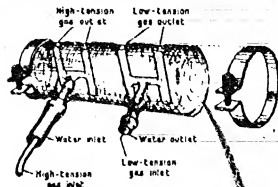


Fig. 6—Chamber for simultaneous equilibration of microsamples in duplicate at two different carbon-dioxide tensions.

pyloric stenosis and pancreatic fistula. In such cases the lost amount of acid or base should be determined by titration together with the twenty-four-hour excretion of acid or base in urine (Jørgensen 1957).

Micromethod for Determining pH, $p\text{CO}_2$, Standard Bicarbonate, and Base Excess

When using macromethods for determining the above relevant values for characterising the acid-base status, arterial punctures are necessary. This is a drawback when frequent analyses are needed—for instance at intervals of minutes in cases with rapid changes in the respiration (in anaesthesiology, treatment in a respirator, &c.). A micromethod, using about 100 μl . blood, was therefore developed (Siggaard Andersen et al. 1960).

Principle

The theoretical background for the calculation of $p\text{CO}_2$, standard bicarbonate, and base excess is that graphs showing the relations between $p\text{CO}_2$ and pH are approximately straight lines (shown experimentally by Brewin et al. 1955 and Astrup 1956). The slope of the lines depends on the buffer capacity of the blood. By equilibrating a blood sample at two known CO_2 tensions and measuring the pH values, the line for the sample is determined (fig. 1). If the actual pH of the blood sample is known, then the actual $p\text{CO}_2$ can easily be found.

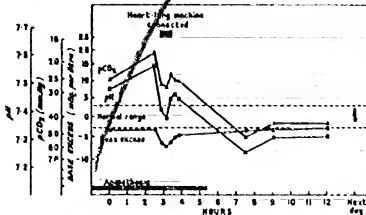


Fig. 8—Case 1: values for pH, $p\text{CO}_2$, and base excess of blood from a patient operated on for a defect in the atrial septum.

The ordinate shows pH, $p\text{CO}_2$, and base excess, the abscissa time in hours. For further explanation see text.

When fixed acid is added to the blood, the line is displaced to the left. When base is added, the line is displaced to the right. Now a graph (fig. 2) can be constructed expressing the displacement caused by any amount of acid or base, independent of the hemoglobin concentration (Siggaard Andersen and Engel 1960).

The point of intersection between this curve and a found pH/log $p\text{CO}_2$ line for a blood sample thus indicates, in mEq , the base excess per litre blood.

In the same diagram a curve can be constructed (Siggaard Andersen and Engel 1960) representing the content of buffer base according to the definition of Singer and Hastings (1948). The curve is shown in the upper left corner in fig. 3. By using this curve the amount of buffer base in blood can be found.

Thus exact measurement of blood pH at the actual $p\text{CO}_2$ and at two known values for $p\text{CO}_2$ will allow the calculation of all relevant blood data concerning the acid-base status. This is illustrated in fig. 3.

Equipment for Determination at Bedside

The equipment is described in detail elsewhere (Siggaard Andersen, et al. 1960). It consists of a pH-

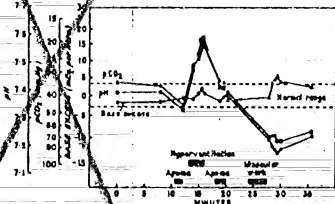


Fig. 7—Case 2: values for pH, $p\text{CO}_2$, and base excess of blood from a normal person during a short period of sustained hyperventilation, again as long as possible, and interval in normal work.

The ordinate shows pH, $p\text{CO}_2$, and base excess, the abscissa time in minutes.

meter, a circulating thermostat, a suction pump, a micro-electrode (fig. 4) according to Smau (1957), and a micro-equilibration chamber (fig. 5). All these parts can be mounted on a portable table together with two small cylinders containing mixtures of oxygen and carbon dioxide.

Capillary blood is drawn from one or two fingers. For the actual pH about 20–25 μl . is sucked directly into the capillary electrode (the principle of Smau 1957) and the reading is made immediately, or it is sucked from blood drawn anaerobically into a heparinized capillary glass tube with sodium fluoride. About 80–90 μl . of blood from two capillary glass tubes is divided between two of the chambers in the equilibration apparatus. This is then shaken mechanically (2600 r.p.m.) and after three minutes the pH values in the two samples are measured successively. By using these two values and the corresponding $p\text{CO}_2$ values (from the cylinders), the pH/log $p\text{CO}_2$ line is drawn and the $p\text{CO}_2$, standard bicarbonate, and base excess can be calculated (fig. 3). The method is

highly accurate, as the three values can be found with an error of less than 2.

Illustrative Cases

The advantage of frequent registration of pH, $p\text{CO}_2$, and base excess by the method described here is illustrated in the following two cases. Figs. 6 and 7 show a convenient graphic system for these three quantities.

Case 1.—Fig. 6 shows blood values from a patient, female, 15 years old, operated on for a defect in the atrial septum. At the beginning of the operation the values for the non-respiratory component indicate a slight base deficit, possibly due to the post-anesthetic phase. A manifest non-respiratory acidosis develops as soon as the blood of the patient is mixed with the rather acid donor blood from the heart-lung machine (approximately 4 litres with a base excess of $-12 \text{ mEq. per litre}$, mainly due to lactic acid). The acid excess quickly decreases, partly by distribution between the different body compartments, and partly by oxidation of the lactic acid. The second day after the operation a normal value is reached.

The curve for the respiratory component ($p\text{CO}_2$) shows first the effect of overventilation during the anesthesia. A slight rise in $p\text{CO}_2$ is seen when the heart-lung machine is responsible for the CO_2 excretion. When spontaneous respiration was established after the operation the $p\text{CO}_2$ was between 50 and 60 mm. Hg, reaching a normal value within a few hours.

The pH curve shows the resulting action of the respiratory and non-respiratory components on the hydrogen-ion concentration.

Case 2.—The pronounced deviations from normal acid-base values seen in a normal individual (male 26 years old) by maximal hyperventilation, periods of apnea, and intensive muscular exercise of short duration are illustrated in fig. 7.

The rise in $p\text{CO}_2$ and the fall in pH during the two apnoeic periods are moderate and obviously the fall in oxygen saturation (to about 80%, not measured accurately) is the limiting factor for the length of voluntary suspension of breath. During hyperventilation a fall in $p\text{CO}_2$ to about 20 mm. Hg was observed. The muscular exercise was followed by enormous hyperventilation, but the $p\text{CO}_2$ was now practically within normal limits. The explanation must be that the greatly increased CO_2 production is compensated by an equally increased CO_2 excretion by means of the hyperventilation.

Only small variations, within the normal limits, are seen during the pure respiratory changes. A possible cause of these small variations is displacement of base between the different body spaces; this point deserves further investigation. During the muscular exercise a heavy non-respiratory acidosis develops, with a base excess of $-12 \text{ mEq. per litre}$, indicating accumulation of large amounts of lactic acid.

Greatest fluctuation in the pH from 7.58 and 7.21, observed over a period of ten to fifteen minutes, was caused exclusively by a fall in $p\text{CO}_2$ and in base excess.

Summary

Disturbances in the acid-base metabolism have been classified according to the relation between blood values for pH, $p\text{CO}_2$, and an index of non-respiratory disturbances. This index should be either bicarbonate concentration measured under standard conditions as "standard bicarbonate" or else the surplus amount, as "base excess", of fixed acid or base in mEq. per litre blood. Knowledge of the value of base excess enables the total deficit or excess of base in the blood-volume and in the extracellular space to be calculated.

An accurate bedside method, using capillary blood, for determining all relevant blood values for the identification of disturbances in the acid-base metabolism, qualitatively and quantitatively, has been devised.

References at foot of next column

MEDICAL ASPECTS OF ROAD SAFETY

L. G. NORMAN

M.D., B.Sc. Lond., M.R.C.P., D.P.H.

CHIEF MEDICAL OFFICER, LONDON TRANSPORT SERVICE

To drive safely requires the use of certain physical and mental qualities in reasonable degree. But these qualities can seldom be precisely defined and they cannot be quantified. Perhaps the nature and amount of the eyeright required for driving have been more fully established than those of other qualities, but even here the borderline between fitness and unfitness to drive is somewhat arbitrary.

In Great Britain in 1958 the police reported 992 road accidents in which a driver was ill or in which his physical defect was regarded as a contributory cause (Road Accidents 1958). This is a small proportion of the total number of accidents, but their prevention is of particular medical interest.

The clinical assessment of fitness to drive does not involve the development of yet another branch of Medicine. It is simply the application of clinical knowledge to the particular requirement of driving vehicles safely; and, as almost all doctors drive themselves, they are in the fortunate and unusual position of having practical experience of both sides of this question. Many adult patients the drivers who may seek advice on their fitness to drive during convalescence or in relation to chronic disease. This is especially important for professional drivers who may be responsible for the safety of many passengers.

A general guide for physicians on the assessment of fitness to drive has been published by the American Medical Association (1959) which has also produced a bright little booklet for patients, entitled "Are You Fit to Drive?". The British Medical Association (1954) and the World Health Organisation (1956) have also published helpful guides for physicians. These are not as well known as they should be.

In assessing the fitness of drivers it is usual to consider three types of vehicle: (1) the public-service vehicle in which seventy or more passengers may be carried, (2) the heavy commercial vehicle, and (3) the private car. The driver of public-service and commercial vehicles is a professional who generally drives for several hours a day; the private-car driver is usually an amateur whose driving may be for as little as half an hour a week or as much as eight hours a day—for example, some sales representatives. The risk of accident due to a medical condition in a driver increases with the time he spends driving. Hence, in assessing the fitness of patients to

* The second Milroy lecture for 1960, delivered before the Royal College of Physicians of London on Feb. 4. The first lecture appeared last week.

DE. ATTURU & OTHERS: REFERENCES

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26 August 1960

MEMORANDUM FOR: THE RECORD

SUBJECT : Approval of [redacted] Study
of the "Psychophysiological Correlates
of the Carbon Dioxide Environment."

ATTENDANCE : [redacted] Cottlish [redacted]

SUMMARY: 1. Request for \$6,500 to carry out [redacted] study was approved. It was recognized that this is primarily a technique study as proposed but that, once [redacted] became familiar with the apparatus, a tighter design could be developed. [redacted] agreed to work with [redacted] when the time was previous.

2. Funding will be effected through the [redacted] mechanism. [redacted] to permanent equipment will remain with [redacted]

TSD/Research Branch

Distribution:

Orig & 3 - TSD/RB

Rec'd.		Fwd.	
A	[redacted]	HWB	2/19
	[redacted]	80	8 Sept
	21 Oct	JWO	27 Oct
	20 Sept 60	DAR	20 Sept 60
For file			

~~12/22~~
12/22

USD/BB

Date Recd

Date Fwd

8/11

8/11

8/23

8/23

22 Aug

23 Aug

13 JUL

13 JUL

Remarks Meeting 1400

Friday, 26 August 1960

FILE

DEPARTMENT OF MEDICINE

July 18, 1960

Re: "A Proposed Study of the Psychophysiological
Correlates of the Carbon Dioxide Environment"
by [REDACTED]

Dear [REDACTED]

such as?

I have reviewed this request carefully. It is really very interesting for me to see how methods for the analysis of blood CO₂, pH, etc. are becoming increasingly available for use at the bedside, and I am also happy to see that people such as [REDACTED] are interested in using these methods to study men's reactions to their life situations. However, I am not at all happy about the hypothesis that they are putting forward for their proposed research. This hypothesis seems to come in that category of, "Let's study a group of patients and see if they aren't abnormal with regard to this or that." There are so many things that can cause the acid-base equilibrium of people who change rapidly over short periods of time that, no matter what was found in a survey of psychiatric patients as compared to normals, it would be unlikely that one could draw any conclusions from the findings which would help one understand the role of the intracellular CO₂ in various forms of psychiatric disease. My suggestion then is that these investigators have good methods but that their hypothesis and experimental design leave something to be desired.

I would suggest that we devote the sums available for work in this area to some projects that are different, but maintain an open mind about these investigators.

Sincerely,

124-24

June 24, 1960

Dear [REDACTED]

Herewith is a proposal for investigation of the psychophysiological correlates of the carbon dioxide environment. I hope that you will find it interesting.

I am enclosing a single reprint of the study which I did with [REDACTED] 1936, which is referred to in the application, together with a photocopy of the recent report from Copenhagen on simplified methods for studying the acid-base equilibrium.

Sincerely,

Approved for Hospital Administrator

~~124-24~~
124-25

INVOICE CHECK LIST

MKULTRA Subproject

At Date of Original Authorization	Period Covered	Time Extended To	Allotment Number	Amount of Obligation
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15 Sept. 1960			4,500
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Additional Authorizations	Period Covered	Time Extended To	Allotment Number	Amount of Obligation
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41				-0-

Invoice Number	Date	Amount	Balance
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#1	16 Sept 1963	5073.78 1426.22	-0-
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REMARKS: